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|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231. | | |
| Typed or Printed Name | Susan M. Alessi | |
| Signature | <i>Susan M. Alessi</i> | |
| Date | 03-07-02 | |
| REVOCATION OF POWER OF ATTORNEY/POWER OF ATTORNEY OR AUTHORIZATION OF AGENT Commissioner for Patents Washington, D.C. 20231 | Attorney Docket | AGYT-006 |
| | First Named Inventor | MUELLER, SABINE |
| | Application Number | 09/983,000 |
| | Filing Date | October 17, 2001 |
| | Group Art Unit | |
| | Examiner Name | |
| | Title: | "USE OF PROTEIN BIOMOLECULAR TARGETS IN THE TREATMENT AND VISUALIZATION OF BRAIN TUMORS" |
| I hereby <u>revoke</u> all previous powers of attorney or authorizations of agent given in the above-identified application and hereby <u>appoint</u> Practitioners at: | | |
| <input checked="" type="checkbox"/> | 24353 | |
| <p>whose address is: Bozicevic, Field & Francis LLP, 200 Middlefield Road, Suite 200, Menlo Park, CA 94025 as its attorney(s) or agent(s) to prosecute the application identified above, to prepare and file amendments, to inspect and make copies thereof and of any papers in any appellate or <i>inter partes</i> proceedings in which the Application may be or become involved, and generally to conduct all business in the United States Patent and Trademark Office relating to the prosecution of the application or any application that claims priority from this application.</p> | | |
| <input checked="" type="checkbox"/> | Please change the correspondence address for the above-identified application to the above-mentioned customer number. | |
| STATEMENT UNDER 37 CFR § 3.73(b) | | |
| In accordance with 37 CFR § 3.73(b) I hereby certify that I am empowered to act on behalf of the Assignee of the above-identified patent application. The Assignment was recorded with the U.S. Patent Office on * at Reel *, Framex to *. | | |
| I declare that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States Code § 1001 and that such willful false statements may jeopardize the validity of the above referenced application or any patent resulting from that application. | | |
| I am the: | | |
| <input type="checkbox"/> Applicant; or | | |
| <input checked="" type="checkbox"/> Assignee of record of the entire interest | | |
| <input type="checkbox"/> Attorney of record | | |
| SIGNATURE of Applicant, Assignee or Attorney of Record | | |
| Name | Karoly Nikolich, Ph.D., Chief Executive Officer AGY Therapeutics | |
| Signature | <i>Karoly Nikolich</i> | |
| Date | 2/28/02 | |

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APR 01 2002

TECH CENTER 1600/2900

Patent
Attorney Docket: 263/180

ASSIGNMENT OF PATENT APPLICATION

WHEREAS, I, SABINE MÜLLER, a citizen of GERMANY, THORSTEN MELCHER, a citizen of GERMANY, and DANIEL CHIN, a citizen of the UNITED STATES (hereinafter referred to as "ASSIGNORS"), have invented a certain invention entitled **USE OF PROTEIN BIOMOLECULAR TARGETS IN THE TREATMENT AND VISUALIZATION OF BRAIN TUMORS**, for which application for Letters Patent of the United States of America was filed on October 17, 2001, and assigned U.S. Patent Application Serial No. 09/983,000; and

WHEREAS, AGY THERAPEUTICS, a corporation organized and existing under and by virtue of the laws of the State of California and having its principal place of business at 290 Utah Ave., South San Francisco, CA 94080 (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring the exclusive right, title and interest in, to and under said invention and in, to and under any Patent or similar legal protection to be obtained therefor in the United States of America, its territorial possessions and in any and all countries foreign thereto.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign, transfer and set over unto the said ASSIGNEE, its successors and assigns, the full and exclusive right, title and interest to said invention and to all Letters Patent or application or similar legal protection, not only in the United States and its territorial possessions, but in all countries foreign thereto, to be obtained for said invention by said application, and to any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in the United States or a foreign country for the full term or terms for which the same may be granted, including all priority rights under the International Convention; and

Patent
Attorney Docket: 263/180

or any legal equivalent thereof to said ASSIGNEE, its successors and assigns, in accordance with this Assignment.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Agreement;

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNORS and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents in the United States or in any foreign country, which may be necessary or desirable to carry out the purposes thereof.

Patent
Attorney Docket: 263/180WITNESS my hand at Hamburg, Germany, Consulate General of USA
California, this 29th day of November, 2001.S. Müller
SABINE MÜLLER

STATE OF CALIFORNIA)

COUNTY OF _____)

) SS

FEDERAL REPUBLIC OF GERMANY
STATE AND CITY OF
HAMBURG
CONSULATE GENERAL OF THE UNITED STATES OF AMERICA

On NOVEMBER 29, 2001, before me, the undersigned Notary Public, personally appeared SABINE M ☐ personally known to me - OR - ☒ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/~~are~~ subscribed to the within instrument and acknowledged to me that ~~he~~/she/~~they~~ executed the same in ~~his~~/her/~~their~~ authorized capacity(~~ies~~), and that by ~~his~~/her/~~their~~ signature(~~s~~) on the instrument the person(~~s~~), or the entity upon behalf of which the person(~~s~~) acted, executed the instrument.

WITNESS my hand and official seal.

Martha L. Loverde
Notary Public in and for said County and State-MARTHA L. LOVERDE
VICE CONSUL OF THE
UNITED STATES OF AMERICA
HAMBURG, GERMANYFEDERAL REPUBLIC OF GERMANY
STATE AND CITY OF
HAMBURG
CONSULATE GENERAL OF THE UNITED STATES OF AMERICA

Patent
Attorney Docket: 263/180

WITNESS my hand at _____,

California, this _____ day of _____, 2001.

THORSTEN MELCHER

STATE OF CALIFORNIA)
) ss
COUNTY OF _____)

On _____, 2001, before me, the undersigned Notary Public, personally appeared THORSTEN MELCHER ☐ personally known to me - OR - ☐ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Notary Public in and for said County and State

Patent
Attorney Docket: 263/180

WITNESS my hand at _____,
California, this _____ day of _____, 2001.

DANIEL CHIN

STATE OF CALIFORNIA)
) ss
COUNTY OF _____)

On _____, 2001, before me, the undersigned Notary Public, personally appeared DANIEL CHIN ☐ personally known to me - OR - ☐ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Notary Public in and for said County and State

Patent
Attorney Docket: 263/180

ASSIGNMENT OF PATENT APPLICATION

WHEREAS, I, SABINE MÜLLER, a citizen of GERMANY, THORSTEN MELCHER, a citizen of GERMANY, and DANIEL CHIN, a citizen of the UNITED STATES (hereinafter referred to as "ASSIGNORS"), have invented a certain invention entitled **USE OF PROTEIN BIOMOLECULAR TARGETS IN THE TREATMENT AND VISUALIZATION OF BRAIN TUMORS**, for which application for Letters Patent of the United States of America was filed on October 17, 2001, and assigned U.S. Patent Application Serial No. 09/983,000; and

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NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign, transfer and set over unto the said ASSIGNEE, its successors and assigns, the full and exclusive right, title and interest to said invention and to all Letters Patent or application or similar legal protection, not only in the United States and its territorial possessions, but in all countries foreign thereto, to be obtained for said invention by said application, and to any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in the United States or a foreign country for the full term or terms for which the same may be granted including all priority rights under the International Convention; and

Patent
Attorney Docket: 263/180

or any legal equivalent thereof to said ASSIGNEE, its successors and assigns, in accordance with this Assignment.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Agreement;

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNORS and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents in the United States or in any foreign country, which may be necessary or desirable to carry out the purposes thereof.

Patent
Attorney Docket: 263/180

WITNESS my hand at _____,
California, this _____ day of _____, 2001.

SABINE MÜLLER

STATE OF CALIFORNIA)
) ss
COUNTY OF _____)

On _____, 2001, before me, the undersigned Notary Public, personally appeared SABINE M ☐ personally known to me - **OR** - ☐ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Notary Public in and for said County and State

Patent
Attorney Docket: 263/180

WITNESS my hand at _____,
California, this _____ day of _____, 2001.

THORSTEN MELCHER

STATE OF CALIFORNIA)
) ss
COUNTY OF _____)

On _____, 2001, before me, the undersigned Notary Public, personally appeared THORSTEN MELCHER ☐ personally known to me - **OR** - ☐ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Notary Public in and for said County and State

Patent
Attorney Docket: 263/180

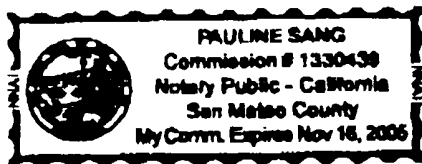
WITNESS my hand at South San Francisco
California, this 13th day of DECEMBER, 2001.
Daniel Chin
DANIEL CHIN

STATE OF CALIFORNIA)
) ss
COUNTY OF San Mateo)

On December 13, 2001, before me, the undersigned Notary Public, personally appeared DANIEL CHIN ☒ personally known to me - OR - ☐ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity(ies), and that by his/~~her/their~~ signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Pauline Sang
Notary Public in and for said County and State



Patent
Attorney Docket: 263/180

ASSIGNMENT OF PATENT APPLICATION

WHEREAS, I, SABINE MÜLLER, a citizen of GERMANY, THORSTEN MELCHER, a citizen of GERMANY, and DANIEL CHIN, a citizen of the UNITED STATES (hereinafter referred to as "ASSIGNORS"), have invented a certain invention entitled **USE OF PROTEIN BIOMOLECULAR TARGETS IN THE TREATMENT AND VISUALIZATION OF BRAIN TUMORS**, for which application for Letters Patent of the United States of America was filed on October 17, 2001, and assigned U.S. Patent Application Serial No. 09/983,000; and

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NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign, transfer and set over unto the said ASSIGNEE, its successors and assigns, the full and exclusive right, title and interest to said invention and to all Letters Patent or application or similar legal protection, not only in the United States and its territorial possessions, but in all countries foreign thereto, to be obtained for said invention by said application, and to any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in the United States or a foreign country for the full term or terms for which the same may be granted, including all priority rights under the International Convention; and

Patent
Attorney Docket: 263/180

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Patent
Attorney Docket: 263/180

WITNESS my hand at _____,
California, this _____ day of _____, 2001.

SABINE MÜLLER

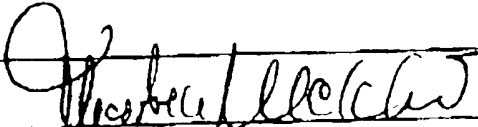
STATE OF CALIFORNIA)
) ss
COUNTY OF _____)

On _____, 2001, before me, the undersigned Notary Public, personally appeared
SABINE M ☐ personally known to me - **OR** - ☐ proved to me on the basis of satisfactory evidence
to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to
me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by
his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the
person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Notary Public in and for said County and State

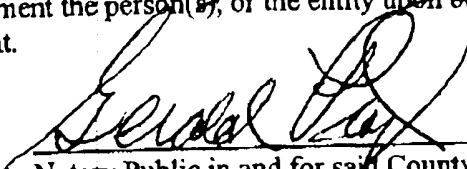
Patent
Attorney Docket: 263/180

WITNESS my hand at Sau Francisco
California, this 4th day of Jan. 2001.²

THORSTEN MELCHER

STATE OF CALIFORNIA)
COUNTY OF SAN FRANCISCO) ss

On Jan. 4, 200²₁, before me, the undersigned Notary Public, personally appeared THORSTEN MELCHER ☐ personally known to me - OR - ☒ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.


Notary Public in and for said County and State

Patent
Attorney Docket: 263/180

WITNESS my hand at _____,

California, this _____ day of _____, 2001.

DANIEL CHIN

STATE OF CALIFORNIA)
) ss
COUNTY OF _____)

On _____, 2001, before me, the undersigned Notary Public, personally appeared DANIEL CHIN ☐ personally known to me - OR - ☐ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

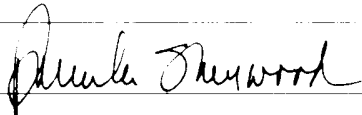
WITNESS my hand and official seal.

Notary Public in and for said County and State

1651

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| | | | |
|-----------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|------------------|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | | Application Number | 09/983,000 |
| | | Filing Date | October 17, 2001 |
| | | First Named Inventor | MULLER, SABINE |
| | | Group Art Unit | |
| | | Examiner Name | |
| Total Number of Pages in This Submission | 17 | Attorney Docket Number | AGYT-006 |
| ENCLOSURES (check all that apply) | | | |
| <input type="checkbox"/> Fee Transmittal Form | <input checked="" type="checkbox"/> Assignment Papers (for an Application) | <input type="checkbox"/> After Allowance Communication to Group | |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences | |
| <input type="checkbox"/> Amendment / Reply | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) | |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition | <input type="checkbox"/> Proprietary Information | |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter | |
| <input type="checkbox"/> Extension of Time Request | <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Other Enclosure(s) (please identify below): | |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | | |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Request for Refund | | |
| <input type="checkbox"/> Certified Copy of Priority Documents | <input type="checkbox"/> CD, Number of CD(s) _____ | | |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application | Remarks | | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | | |
| | | RECEIVED APR 01 2002 TECH CENTER 1600/2900 | |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | | | |
| Firm or Individual Name | PAMELA J. SHERWOOD, Reg. No. 36,677 | | |
| Signature |  | | |
| Date | March 7, 2002 | | |

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail.